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# TRANSMITTAL FORM



Electronic Version 1.0.3

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Attorney Docket  
Number:

124007

Submission Type: Utility Patent  
Filing

## METHOD AND APPARATUS FOR FABRICATING WAVEGUIDES AND WAVEGUIDES FABRICATED THEREFROM

First Named Inventor: Ernest Wayne Balch

### SUBMITTED BY

|                                |                       |
|--------------------------------|-----------------------|
| Name:                          | Ann M. Agosti         |
| Registration Number:           | 37372                 |
| Electronic Signature Mark: AMA | Date Signed: 20020729 |

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*I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.*

### Attached Files:

|             |             |
|-------------|-------------|
| declaration | DEC1OF2.tif |
|-------------|-------------|

|                    |                      |
|--------------------|----------------------|
| declaration        | DEC2OF2.tif          |
| patent-assignments | agosti124007asgn.xml |
| fee-transmittal    | agosti124007fee.xml  |
| specification      | 124007.xml           |
| bibd-transmittal   | agosti124007apds.xml |

**Attached Image File(s):**

DEC1OF2.tif

DEC2OF2.tif



Docket Num  
124007

My residence, post office address and citizenship are as stated below next to my name.

## METHOD AND APPARATUS FOR FABRICATING WAVEGUIDES AND WAVEGUIDES FABRICATED THEREFROM

☐ was filed on \_\_\_\_\_ as United States Application Number \_\_\_\_\_ or PCT International Application Number \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations §1.56. I hereby claim foreign priority benefits under Title 35, United States Code, §119(a)-(d) of any foreign application(s) for patent having an inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

| (Number) | (Country) | (Day/Month/Year Filed) |
|----------|-----------|------------------------|
| (Number) | (Country) | (Day/Month/Year Filed) |

Priority Claimed  
☐ Yes ☐ No  
☐ Yes ☐ No

| (Application Number) | (Filing Date) |
|----------------------|---------------|
| (Application Number) | (Filing Date) |

I hereby claim the benefit under Title 35, United States Code §120 of any **United States Application(s)** listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

|                      |               |   |
|----------------------|---------------|---|
| (Application Number) | (Filing Date) | (Status - patented, pending, abandoned) |
| (Application Number) | (Filing Date) | (Status - patented, pending, abandoned) |

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**CRD Patent Docket Rm 4A59**  
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Docket Num  
124007

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information or belief are believed to be true and further that these statements were made with the knowledge that willful false statements and like so made are punishable by fine or imprisonment or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SOLE OR FIRST INVENTOR:

Full name: ERNEST WAYNE BALCH

First Name

Middle Name

Last Name

Signature: Ernest Wayne Balch Date: 7/23/2002

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City and State

Citizenship: \_\_\_\_\_

Post Office Address: \_\_\_\_\_

# FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

*Patent fees are subject to annual revisions on or about October 1st of each year.*

Large Entity

**TOTAL FEES AUTHORIZED: \$ 1 596**

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 07-0868



Deposit Account Name: General Electric GRC

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

Charge Assignment Fees Required Under 37 C.F.R. Section 1.21 (h).

## SUBMITTED BY

Authorized Name: Ann M. Agosti

Electronic Signature Mark: AMA

Date Signed: 20020729

## BASIC FILING FEE

| Fee Description    | Fee Code | Fee Paid |
|--------------------|----------|----------|
| Utility Filing Fee | 101      | \$ 740   |

Subtotal For Basic Filing Fee: \$ 740

## EXTRA CLAIM FEES

|                       | Fee Code | Fee   | Extra Claims | Fee Paid |
|-----------------------|----------|-------|--------------|----------|
| Total Claims: 56      | 103      | \$ 18 | 36           | \$ 648   |
| Independent Claims: 5 | 102      | \$ 84 | 2            | \$ 168   |

Subtotal For Extra Claims Fees: \$ 816

ADDITIONAL FEES

| Fee Description                                   | Number   | Quantity | Fee Code | Amount | Fee Paid |
|---|----------|----------|----------|--------|----------|
| Recording Each Patent Assignment Per Property Fee | 00000000 | 1        | 581      | \$ 40  | \$ 40    |

Subtotal For Additional Fees: \$ 40